# PERIOPERATIVE COMPLICATIONS AFFECTING THE ANESTHETIC OUTCOME IN INTRAVENTRICULAR NEUROENDOSCOPY

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# **ABSTRACT**

Neuroendoscopy is increasingly being used for various diagnostic and therapeutic procedures. Despite being minimally invasive, serious perioperative complications are reported with its use which may adversely affect the anesthetic outcome. These complications are largely generated by its unique surgical manoeuvres, leading to alterations in cerebral flow dynamics and injuries to vital brain areas. This prospective observational study evaluates the complications encountered during neuroendoscopic surgery for the treatment of hydrocephalus and other intraventricular pathology over a 7 year period at our institute. The complication rate was determined by recording hemodynamic variables, core temperature, episodes of minor and major bleeding, time to arouse from anaesthesia, serum electrolytes and neurological deterioration in the immediate postoperative period. Intraoperative tachycardia (with or without hypertension) was the predominant hemodynamic response seen in 57 patients (20.1%) while bradycardia occurred in 35 patients (12.4%). Bleeding episodes were major in 4 patients (1.4%) and minor in 32 patients (11.3%). Hypothermia was seen in 12 patients (4.2 %), delayed awakening in 3 patients (1.1%) and electrolyte imbalance in 3 patients (1.1%). Postoperatively, 2 patients had convulsions, anisocoria and evidence of 3rd cranial nerve injury. Mortality from observed complications was 1.1% (3 patients). Anticipation of the various complications in relation to the surgical manoeuvres performed, meticulous perioperative monitoring and close coordination between the anaesthetist and the surgeon help towards making neuroendoscopy a safe procedure.

# **INTRODUCTION**

Endoscopic third ventriculostomy (ETV) is an established therapeutic alternative to conventional shunt surgery for patients with non-communicating hydrocephalus. Besides ETV, neuroendoscopy is also being used for the diagnosis and treatment of intraventricular pathology, like choroid plexus coagulation, biopsy or removal of intraventricular and periventricular tumors, drainage or excision of colloid and arachnoid cysts and retrieval of displaced shunts.<sup>1</sup>

Despite the many advantages of neuroendoscopy which include, speed, simplicity, avoidance of implants and no brain retraction or dissection, a variety of perioperative

complications are reported with its use, attributed mostly to its unique surgical technique; the incidence of complications is 5% to 30% and that of operative mortality is 0% to 1%. Serious hemodynamic variations, temperature alterations, electrolyte imbalance and delayed emergence after surgery are some of the complications that may adversely affect the anesthetic outcome. A thorough understanding of these complications in relation to the different maneuvers of endoscopy can help in their better management and substantially improve patient safety. We present here a prospective observational study undertaken at our institute with the aim of evaluating the various perioperative complications that can occur during intraventicular neuroendoscopy.

### **MATERIALS AND METHODS**

Consecutive patients undergoing elective neuroendoscopic surgery for the treatment of hydrocephalus and other intraventricular pathology performed by the same neurosurgeon between September 1999 and December 2006 were studied. Preanesthetic check up specifically included a documentation of the patient's neurological status, presence of raised intracranial pressures (ICP) and prior shunt placements. The patients were maximally optimized before surgery and informed consent was obtained. Anesthesia was induced with intravenous (IV) injections of thiopentone (4 mg/kg), atracurium (0.5 mg/kg), fentanyl (2 mcg/kg), and midazolam (1-2 mg); halothane induction was used in children. Patients were intubated and ventilated with oxygen (O2) & nitrous-oxide (N2O) mixture (FiO2 0.4) to achieve end-tidal carbon-dioxide (EtCO2) values of 30-33 mmHg. Anesthesia was maintained with atracurium infusion (10mcg/kg/min), 0.5% isoflurane and fentanyl boluses. Electrocardiogram, pulse oximetry, EtCO2, nasopharyngeal temperature, invasive arterial blood pressure and arterial blood gases (ABG) were monitored. Intraoperative fluid requirements were met with Ringer's lactate (RL) solution in adults and isotonic pediatric solution in children. Forced-air blankets and pre-warmed infusions were used to keep the patients warm. Anesthesia was reversed with IV neostigmine (0.05mg/kg) and IV glycopyrrolate (0.01mg/kg) and thereafter, the patients were closely monitored in the postoperative care unit for 4-5 hours.

For surgery, the patients were placed supine with head in a neutral position on a horse shoe head-rest. A rigid neuroendoscope (Karl Storz GmBH, Tottingen, Germany) with a 6 mm sheath and 1.8 mm telescope was introduced into the lateral ventricles through a standard burr hole. Continuous irrigation with pre-warmed RL fluid was started at slow speed (5-10 ml/min) and was increased if required. Whenever the third ventricle (TV) cavity got overfilled, fluid was let out through the sheath. The endoscope was advanced through the foramen of Monroe (FOM) into the TV cavity where most of the surgeries were done. For ETV, the TV floor was identified as a bluish transparent membrane behind which the mammillary bodies and basilar artery pulsations were visible. Perforation of the TV floor was done in the midline between the infundibular recess and the mammillary bodies with a bipolar cautery. The opening was dilated to 5-6 mm with a 3F Fogarty balloon catheter. Adequacy of ventriculostomy was judged by oscillations of cerebrospinal fluid (CSF) flow through the fenestration. On completion, the neuroendoscope was withdrawn and operative site closed in layers. The procedure was continuously displayed on a video screen. The total volume of irrigation fluid used and the color of the outflow fluid were noted.

The following data was collected:

- Intraoperative hemodynamic variables like heart rate (HR), rhythm and mean arterial pressure (MAP): Baseline values were obtained just before insertion of the endoscope in the anesthetized patient and thereafter, any change in these values in relation to the various surgical maneuvers was recorded. Bradycardia or tachycardia was defined as decreases or increases respectively in HR of \$20% from baseline values. Hypotension or hypertension was defined as similar changes in MAP of \$20% from baseline values. Hemodynamic variations were to be treated initially by retraction of the associated surgical maneuver, and if persistent, drug treatment was to be given.
- 2. <u>Intraoperative nasopharyngeal temperature:</u> Hypothermia and hyperthermia were defined as decreases and increases respectively in temperatures outside the acceptable range of 35...C to 38...C.
- 3. Serum electrolytes: Sodium and potassium were monitored up to the first postoperative day. Hyponatremia and hypernatremia were defined as decreases and increases respectively in serum sodium values outside the normal range of 130 to 145 meq/l. Hypokalemia and hyperkalemia were defined as similar changes in serum potassium values outside 3.5 to 5 meg/l.
- 4. Intraoperative bleeding episodes: Major bleeding was defined as that which significantly obscured endoscopic visibility and did not clear despite increased irrigation and cauterization.
- 5. Time taken to arouse from anesthesia: Awakening was considered delayed if the patient's consciousness did not revert to preoperative levels within 40-45 minutes of reversing anesthesia.
- 6. Postoperative problems: Neurological deterioration, convulsions, anisocoria, nystagmus, fever and hemiplegia manifesting in the immediate postoperative period were noted.

# **RESULTS**

Table 1 depicts the demographic profile of the total 298 patients studied. Preoperative evaluation revealed 8 patients with altered sensorium, 2 patients with hemiplegia, 2 patients with 6th &7th cranial nerve palsy, 5 patients with convulsive disorder and 7 patients having undergone prior shunt surgery.

In 15 patients (5%), surgery was abandoned due to either an unfavorable anatomy or when a major bleed obscured visibility; ventriculo-peritoneal shunt surgery was performed in the same sitting in 12 of these patients. The duration of surgery ranged between 10 minutes and 2 hours and the

Table 1: Demographic data of patients

		No. of patients
Sex	Male Female	182 116
Age	< 2 years 2-5 years 5-18 years > 18 years	126 84 59 29
Diagnosis	Aqueductal stenosis Tubercular meningitis Neonatal hydrocephalus Postinfective hydrocephalus Normal pressure hydrocepha Shunt infected meningitis Dandy Walker syndrome Tumors with hydrocephalus	9 4
	Colloid cysts Neurocystecercosis Pineal tumors Intraventricular tumors Thalamic mass Ependymal cyst Arachnoid cyst Choroidal cyst	23 6 4 4 2 2 2 1

volume of irrigating fluid consumed was between 50 ml and 1500 ml.

Table 2 lists the perioperative complications seen in the remaining 283 patients. Intraoperative tachycardia occurred in 57 patients (20.1%), out of which, in 26 patients, it was accompanied by hypertension (HT). It was observed that tachycardia (with or without HT) occurred more often during endoscopic manipulations and irrigation inside the TV cavity. On being alerted, the surgeons halted surgery and let out the irrigating fluid which resulted in prompt normalization of observed changes. In 2 patients there was a delay in the surgeon's response and the tachycardia was followed by bradycardia which also got corrected with egress of fluid. Bradycardia was observed in 35 patients

(12.4%), out of which only 2 patients had accompanying HT. The bradycardic response was seen to occur most often near the TV floor (during perforation or balloon dilatation) and responded to endoscope withdrawal or balloon deflation. HT was seen in 28 patients (9.9%) associated either with bradycardia or tachycardia but not in isolation. Two patients had hypotension during the procedure. All hemodynamic changes were transient, no drug treatment was required, and there was no postoperative morbidity

**Table 2:** Perioperative complications during neuroendoscopy

	Intraoperative complications	3
Hemodyna	mic variations:	
	Tachycardia	57 (20.1%)
	Tachycardia & HT	26 (9.2%)
	Bradycardia	35 (12.4%)
	Bradycardia & HT	2 (0.71 %)
	HT (with tachy/ brady)	28 (9.9 %)
Bleeding e	pisodes:	
	Major bleeding	4 (1.4%).
	Minor bleeding	32 (11.3%)
Venous air embolism		1 (0.35%)
	Immediate postoperative compli	cations
	Hypothermia	12 (4.2%).
	Delayed awakening	3 (1.1%)
	Electrolyte imbalance	3 (1.1%)
	Convulsions	2 (0.71 %)
	Anisocoria	2 (0.71%)
	3rd nerve palsy	2 (0.71 %)
Mortality fr	rom perioperative complications:	3 (1.1%)

HT - Hypertension

related to these changes. None of the patients had intraoperative cardiac arrest.

Major bleeding was encountered in 4 out of 298 patients (1.4%) due to injury to basilar artery branches and large ependymal veins; the procedure was abandoned in 3 patients. Surgery was completed in the remaining patient but he developed a transient episode of intraoperative venous air embolism (VAE), suspected by an abrupt fall in EtCO2 values to 20 mmHg and development of ventricular bigeminy. Postoperatively, all 4 patients underwent external ventricular drainage and elective ventilation. One patient recovered and subsequently underwent shunt surgery while the remaining 3 patients died within a week. Minor bleeding was observed in 32 patients (11.3 %).

Intraoperative hypothermia in 12 patients (4.2%) was seen only during the earlier years. Three patients (1.1%) had delayed awakening from anesthesia, 2 of whom also had hypothermia. In the immediate postoperative period, 3 patients (1.1%) had electrolyte imbalance (hyperkalemia-1, hypernatremia-2). Two patients had convulsions, anisocoria and evidence of 3rd nerve injury. Mortality related to the observed perioperative complications was 1.1% (3 patients).

### **DISCUSSION**

ETV is the commonest neuroendoscopic procedure with a reported success rate of 60% to 90%.1 Intraventicular tumors, colloid cysts and arachnoid cysts are also accessed easily via the endoscope and can be safely removed or biopsied, and wherever indicated, ETV can be performed at the same sitting(Table 1).2

The anesthetic management of patients undergoing neuroendoscopy begins with a thorough preoperative evaluation, keeping in mind that hydrocephalic patients may present with altered sensorium, dehydration, electrolyte imbalance, convulsions, other congenital anomalies and prior shunt placements. 1,2 Abnormalities should be maximally corrected before surgery and sedative premedication preferably avoided. Intraoperative management is directed towards providing optimal conditions for surgery and preventing technique related complications. One must ensure strict patient immobility during endoscopy and yet have a reasonably awake patient at the end of anesthesia to facilitate early neurological assessment. The procedure may end abruptly and is also relatively painless, and hence, general anesthetic regimes incorporating easily titrable drugs like propofol, thiopentone, fentanyl, atracurium and inhalational agents are preferred over long acting drugs. Use of N2O during neuroendoscopy is questionable as it can diffuse into and expand ventricular air bubbles. We have discontinued its use after the episode of suspected VAE.

Serious intraoperative complications during neuroendoscopy can be generated by any of its surgical maneuvers like, endoscopic manipulations inside the TV cavity, continuous irrigation with electrolyte solution and perforation of the TV floor. Hemodynamic disturbances in the form of HR & blood pressure (BP) changes are the most frequently reported complications with an incidence of 28-32%. These responses may be secondary to an acute rise in intracranial pressures (ICP) leading to impairment of cerebral perfusion.3-5 Continuous use of high speed irrigation or obstruction to the outflow of fluid can significantly raise the ICP. A tachycardia and HT response, termed as atypical

Cushing reflex is shown to correlate well with an acutely raised ICP during ETV.5,6

Inadvertent stimulation or injury of the posterior hypothalamus (which modulates the cardiac regulatory function of the brain stem via descending autonomic pathways) or of the 3rd cranial nerves, both of which lie in close proximity to the TV floor, can also produce significant hemodynamic responses.4 Bradycardia was reported in 43% of the patients & 41% of the patients in two separate studies during fenestration of the TV floor<sup>(7,8)</sup> and in 26.8% patients during balloon inflation of Fogarty catheter<sup>(4)</sup>. Rapid CSF drainage while inserting the endoscope may lead to sudden brain shifts with hemodynamic alterations.<sup>2</sup> Use of saline as an irrigant is also known to produce HT with reflex bradycardia which may be confused with raised ICP; RL is preferred as it does not cause HT and its ionic composition is close to that of CSF.6 Most often, the observed HR and BP changes are transient and respond to simple surgical maneuvers, but failure to recognize them in time can lead to serious consequences. Two cases of cardiac arrest have been reported during ETV; one, due to a forceful & rapid irrigation causing hypothalamic distortion (the authors recommend judicious use of irrigation at a speed of 10 ml/min) and the other, during balloon inflation causing local pressures on the underlying hypothalamus.<sup>4,9</sup> Prompt management of cardiovascular complications can be achieved by anticipation of the hemodynamic change specific to a surgical maneuver, necessitating close observation of surgery on the video monitor by early detection, requiring beat to beat monitoring of changes with an indwelling arterial catheter.5,6,10 Rises in ICP can be detected by measuring intra-endoscopic pressures, though these may sometimes be unreliable. 10,11 At our institute, we are not measuring the ICP and rely only on hemodynamic changes to alert the surgeons.

Minor hemorrhages are commonly encountered and are easy to control whereas major bleeding can result in serious postoperative neurological sequel. Injury to the basilar artery or basilar perforating vessels remains the most dangerous complication of neuroendoscopy associated with a high morbidity and mortality, highlighting the importance of a correctly placed fenestration on the TV floor. 1,3,4,12,13 Monitoring the colour and volume of the returning perfusate is useful for assessing the extent of bleeding.<sup>2</sup> Hypothermia during neuroendoscopy is seen more often in small children, caused by large exchanges of irrigation fluid & ventricular CSF and by wetting of drapes with the returning perfusate.<sup>2</sup> Hypothalamic injury may contribute to impaired temperature regulation manifesting after surgery.<sup>4</sup> Routine use of pre-warmed irrigation fluid and forced-air blankets helped us to reduce the incidence of intraoperative hypothermia in our patients. Delayed awakening after neuroendoscopy has been reported in up to 15% of patients, attributed largely to high pressure levels inside the

neuroendoscope; <sup>10</sup> hypothermia appeared to be the most probable causative factor in our patients. Intraoperative air entrainment leading to pneumoventricle or pneumocephalus with serious postoperative sequel is reported; <sup>14</sup> we also witnessed an episode of VAE.

Complications arising from a faulty surgical technique or an inadvertent injury to vital brain areas may manifest in the immediate postoperative period. Transient episodes of loss of consciousness, neurological deficits (incidence: 8-38%), confusion, memory loss and impaired cognitive function are often reported. 1,4,15 Convulsions may occur due to pneumocephalus, intraventricular bleed or electrolyte imbalance.<sup>2,4,14</sup> Transient hypothalamic dysfunction may lead to syndrome of inappropriate secretion of antidiuretic hormone and diabetes insipidus with fluctuating serum electrolyte levels, mandating their close monitoring in the postoperative period. 1,4,15 Hyperkalemia, hypokalemia, hypernatremia and hyponatremia have been variously reported.3,4,16-18 Meningeal irritation, headache and high fever can occur due to an inflammatory response to irrigating fluids or to a spilled colloid cyst contents causing chemical ventriculitis. 19-21 Transient ocular divergence and anisocoria can occur from inadvertent stretching of the midbrain and resultant palsy of 3rd and 6th cranial nerves during fenestration of the TV floor.<sup>3,4</sup> Respiratory arrest in 2 patients and cardiorespiratory arrest in 1 patient due to acute subdural collection is also reported, reinforcing the importance of close monitoring in the immediate postoperative period.<sup>22,23</sup>

Improved anesthetic outcome from neuroendoscopic procedures is largely dependent on an efficient handling of its related complications. This requires understanding the complications in relation to the different surgical steps, meticulous intraoperative monitoring, effective communication between the surgeon and the anesthetist and, close vigilance of the patient in the postoperative period.

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